

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Werner Barton et al
Title: Method for Operating a Frequency Converter of a Generator and Wind Energy Turbine
Having a Generator Operated According to the Method
Serial No.: filed concurrently
Art Unit: n/a
Filing Date: filed concurrently
Examiner: n/a
Docket No.: 46WVD138884
Date: September 11, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- ☒ 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- ☒ 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- ☐ 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

- ☐ a. The required Certification made in item 5 below; or

- ☐ b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

- ☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

- a) The required Certification is stated in item 5 below; and

- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

[] 5. Certification

- [] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 6. Payment of all applicable fees:

- ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- ☐ Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

/ph46340/
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Patent Counsel

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Sheet	1	of	2
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Application Number	Not available
Filing Date	September 11, 2006
First Named Inventor	Werner Barton et al
Art Unit	Not available
Examiner Name	Not available
Attorney Docket Number	46WD138884

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code* ² Number* ³ Kind Code* ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁵
/IM/		WO 02/103879	12-27-2002	Abb Ab		
/IM/		FR2623381A	10-11-2002	Electricite De France		

Examiner	/ra/ Mohandes/ (02/13/2009)
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Date Considered	02/13/2009
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¹ EXAMINER: Include if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique country designation number (optional). ³ See "Clns Codes and Notes" on back of this form. ⁴ See "Clns Codes and Notes" on back of this form. ⁵ Enter Office that issued the document, by the taxpayer (WIPO Standard ST.2). ⁶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁷ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁸ Applicant is to place a check mark here if English language translation is attached.

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Attorney Docket Number	46WD138884

[illegible]

Examiner Signature	/Iraj Mohandes/ (02/13/2009)	Date Considered	02/13/2009
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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